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PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/610,504 Application Number TRANSMITTAL Jun 30, 2003 Filing Date **FORM** Rivers-Moore First Named Inventor Group Art Unit 2171 (to be used for all correspondence after initial filing) METJAHIC, SAFET **Examiner Name** Attorney Docket Number MS1-1408US Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers to Group Fee Transmittal Form (for an Application) Appeal Communication to Board Sheets Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Provisional Application Affidavits/declaration(s) Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Endosure(s) (please Extension of Time Request identify below): PTO-1449; reference copies (16); return Terminal Disclaimer receipt postcard **Express Abandonment Request** Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Michael K. Colby, Reg. No. 45,816 Individual name Signature Date CERTIFICATE OF MAILING hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: Julie Gehlen Typed or printed name Date Signature

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PTO/SB/17 (10-03)

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## FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)	0.00
101	

Complete if Known		
Application Number	10/610,504	
Filing Date	Jun 30, 2003	
First Named Inventor	Rivers-Moore	
Examiner Name	METJAHIC, SAFET	
Art Unit	2171	
Attorney Docket No.	MS1-1408US	

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)	
Check Credit card Money Other None	3. ADDITIONAL FEES	
Order U	Large Entity   Small Entity	
✓ Deposit Account:	Fee Fee Fee Fee Description  Fee Pee Fee Fee Pee Pee Pee Pee Pee Pee	
Deposit Account 12-0769	Code (\$) Code (\$)  1051 130 2051 65 Surcharge - late filing fee or oath	10
Number Deposit Lange DLI C	1052 50 2052 25 Surcharge - late provisional filing fee or	_
Account Lee & Hayes, PLLC	cover sheet	$\neg$
Name The Director is authorized to: (check all that apply)	1053 130 1053 130 Non-English specification	-
Charge fee(s) indicated below  Credit any overpayments	1812 2,520 1812 2,520 For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804 920* 1804 920* Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action	
to the above-identified deposit account.	1251 110 2251 55 Extension for reply within first month	
FEE CALCULATION	1252 420 2252 210 Extension for reply within second month	
1. BASIC FILING FEE Large Entity Small Entity	1253 950 2253 475 Extension for reply within third month	_
Fee Fee Fee Fee Description Fee Paid	1254 1,480 2254 740 Extension for reply within fourth month	
Code (\$) Code (\$)	1255 2,010 2255 1,005 Extension for reply within fifth month	
1001 770 2001 385 Utility filing fee 1002 340 2002 170 Design filing fee	1401 330 2401 165 Notice of Appeal	
1002 340 2002 170 Design filing fee 1003 530 2003 265 Plant filing fee	1402 330 2402 165 Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403 290 2403 145 Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451 1,510 1451 1,510 Petition to institute a public use proceeding	
	1452 110 2452 55 Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 0.00	1453 1,330 2453 665 Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	E 1501 1,330 2501 665 Utility issue fee (or reissue)	
Extra Claims below Fee Paid	d 1502 480 2502 240 Design issue fee	
Total Claims20** = X = 0	1503 640 2503 320 Plant issue fee	
Independent - 3** = X = 0	1460 130 1460 130 Petitions to the Commissioner	
Multiple Dependent	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity	1806 180 1806 180 Submission of Information Disclosure Stmt	_
Fee Fee Fee Fee Fee Description Code (\$)	8021 40 8021 40 Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809 770 2809 385 Filing a submission after final rejection	
1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid	(37 CFR 1.129(a))  1 1810 770 2810 385 For each additional invention to be	$\dashv$
1204 86 2204 43 ** Reissue independent claims	examined (37 CFR 1.129(b))	
over original patent	1801 770 2801 385 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination	_
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited examination of a design application	_
SUBTOTAL (2) (\$) 0.00	Other fee (specify)	$\dashv$
**or number previously paid, if greater, For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00	
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SUBMITTED BY Registration No. 45,816 Telephone (509) 324-9256 Name (Print/Type) Michael K. Colby Attorney/Agent) Signature

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.	10/610,504
Filing Date	Jun 30, 2003
Confirmation No	9202
Inventorship	Rivers-Moore
Applicant	Microsoft Corporation
Group Art Unit	2171
Examiner	METJAHIC, SAFET
Attorney's Docket No	MS1-1408US
Title: Declarative Solution Definition	

### INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

#### **REMARKS**

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

Date: 27 oct of

By: Michael K. Colby
Reg. No. 45,816

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Substitute for form 1449A/PTO

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

1 of :

Complete if Known		
Application Number	10/610,504	
Filing Date	Jun 30, 2003	
First Named Invento	r Rivers-Moore	
Group Art Unit	2171	
Examiner Name	METJAHIC, SAFET	
Attorney Docket Numb	per MS1-1408US	

7			U.S. PATENT DOCU	JMENTS	
Examiner Initials*	Cite No.1	U.S. Patent Documer  Number  Kind Co  (if know	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6369840	Barnett et al.	04/09/2002	
		6421070	Ramos et al.	07/16/2002	
		6476833	Moshfeghi	11/05/2002	
		6493702	Adar et al.	12/10/2002	
		6560640	Smethers	05/06/2003	
		6631357	Perkowski	10/07/2003	
		6678717	Schneider	01/13/2004	
		2001/0056429	Moore et al.	12/27/2001	
		2003/0158897	Ben-Natan et al.	08/21/2003	
		60/191662	Kutay et al.		
		60/203081	Ben-Natan et al.		
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	FOREIGN PATENT DOCUMENTS							
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Initials*	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T <sup>6</sup>
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Examiner	Date	
Signature	Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 3

Complete if Known		
Application Number	10/610,504	
Filing Date	Jun 30, 2003	
First Named Inventor	Rivers-Moore	
Group Art Unit	2171	
Examiner Name	METJAHIC, SAFET	
Attorney Docket Number	MS1-1408US	

		NON PATENT LITERATURE DOCUMENTS	
caminer itials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		"Store and Organize Related Project Files in a Binder," Getting Results with Microsoft Office, 1990, pages 109-112.	
		Barker et al., "Creating In-Line Objects Within An Integrated Editing Environment," IBM Technical Disclosure Bulletin, Vol. 27, No. 5, October 1984, page 2962.	
		Berg A., "Naming and Binding: Monikers" Inside OLE, 1995, Chapter 9, pages 431-490.	
		Clapp D., "The NeXT Application Kit Part I: Non-Responsive Classes," The NeXT Bible 1990, Chapter 16, pages 275-293.	
		DiLascia et al., "Sweeper" Microsoft Interactive Developer, Vol. 1, No. 1, 1996, 27 pages.	
		Herzner et al., "CDAM- Compound Document Access and Management. An Object-Oriented Approach" Multimedia Systems Interaction and Applications, 1992, Chapter 3, pages 17-36.	
		Kobayashi et al., "An Update on BTRON-specification OS Development" IEEE 1991 pages 132-140.	
		Peterson B., "Unix Variants," Unix Review, Vol. 10, No. 4, April 1992, pages 29-31.	
<del></del>		Pike et al., "Plan 9 from Bell Labs" UKUUG, Summer 1990, 10 pages.	
		Pike et al., "The Use of Name Spaces in Plan 9," Operating Systems Review Vol. 27, No. 2, April 1993, pp. 72-76.	
		Staneck W., "Internal and External Media" Electronic Publishing Unleashed, 1995, Chapter 22, pages 510-542.	
			Pike et al., "The Use of Name Spaces in Plan 9," Operating Systems Review Vol. 27, No. 2, April 1993, pp. 72-76.  Staneck W., "Internal and External Media" Electronic Publishing Unleashed, 1995,

Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.



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Substitute for form 1449B/PTO

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/610,504			
Filing Date	Jun 30, 2003			
First Named Inventor	Rivers-Moore			
Group Art Unit	2171			
Examiner Name	METJAHIC, SAFET			
Attorney Docket Number	MS1-1408US			

OLF CIE	Examiner Initials*	Cite No.1		
MOVED 1 2004 SE			Zdonik S., "Object Management System Concepts," ACM, 1984, pages 13-19.	
TRADEMAN			Trupin J., "The Visual Programmer," Microsoft Systems Journal, April 1996, pages 103-105.	
			OMG XML Metadata Interchange (XMI) Specification Version 1.2 January 2002.	T
			,	

NON PATENT LITERATURE DOCUMENTS

Examiner		Date			
Signature	·	Considered			

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.



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